∦Sterne Kessler ATTORNEYS AT LAW



Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Michael B. Ray Robert E. Sokohl Robert E. Sokoni Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcom Robert C. Millonig Lawrence B. Bugaisky Donald I Featherstone Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Molly A. McCall Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Vincent L. Capuano Albert J. Fasulo II* Eldora Ellison Floyd Thomas C. Fiala

Kimberly N. Reddick Theodore A. Wood Elizabeth J. Haanes Bruce E. Chalker Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Prengamar Jane Shershenovich* Jane Snersnerrovicu Lawrence J. Carroll* George S. Bardmesser Daniel A. Klein* Rodney G. Maze Jason D. Eisenberg Michael A. Specht*

LuAnne M. Yuricek*

Registered Patent Agents • Karen R. Markowicz Nancy J. Leith Ann E. Summerfield Helene C. Carlson Gaby L. Longsworth Matthew J. Dowd Aaron L. Schwartz Aaron L. Schwartz
Angelique G. Uy
Mary B. Tung
Katrina Y. Pei
Bryan L. Skelton
Robert A. Schwartzman
John J. Figueroa
Jimathy A. Doylo Timothy A. Doyle Jennifer R. Mahalingappa

<u>Of Counsel</u> Kenneth C. Bass III Lisa A. Dunner

*Admitted only in Maryland * Admitted only in Virginia • Practice Limited to Federal Agencies

April 23, 2003

WRITER'S DIRECT NUMBER: (202) 772-8677 **INTERNET ADDRESS:** RSOKOHL@SKGF.COM

Art Unit 2817

APR 29 2003 C 2800 MAIL ROOM

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application

Appl. No. 09/892,755; Filed: June 28, 2001

Balun Transformer For A Satellite Television Tuner

Inventor(s):

BURNS et al.

Our Ref:

1875.0350001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO-1449 listing SIX (6) documents (1 page);
- 3. Copies of the SIX (6) cited documents as listed on Form PTO-1449; and
- One (1) return postcard. 4.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert Schohl Attorney for Applicant Registration No. 36,013

RES/mjg **Enclosures**

SKGF_DC1:126109.1

Sterne, Kessler, Goldstein & Fox P.L.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BURNS et al.

Appl. No. 09/892,755

Filed: June 28, 2001

For:

Balun Transformer For A Satellite Television Tuner

Confirmation No. 3392

Art Unit: 2817

Examiner: Benny T. Lee

Atty. Docket: 1875.0350001

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- □ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- □ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to

- the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- □ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- □ c. Attached is our PTO-2038 Credit Card Payment Form [OR] Check No.
 _____ in the amount of \$ _____ in payment of the fee under 37
 C.F.R. § 1.17(p).
- □ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. ______ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - □ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- 5. The documents were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- □ 7. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _______, filed ______, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert Sokohl

Attorney for Applicants Registration No. 36,013

Date: 4 23

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600 SKGF_DC1:126067.1



Include copy of this form with next communication to Applicant.

Page 1 of 1 APPLICATION NO. ATTY, DOCKET NO. 1875.0350001 09/892,755 FORM PTO-1449 APPLICANT BURNS et al. INFORMATION DISCLOSURE STATEMENT FILING DATE **GROUP** 2817 June 28, 2001 **U.S. PATENT DOCUMENTS EXAMINER** NAME CLASS SUB-CLASS FILING DATE INITIAL DOCUMENT DATE NUMBER 5,697,088 GU AA1 12/9/1997 AB1 AC1 AD1 AE1 AF1 AG1 AH1 Al1 AJ1 AK1 **FOREIGN PATENT DOCUMENTS EXAMINER** CLASS SUB-CLASS TRANSLATION DOCUMENT DATE **COUNTRY** INITIAL NUMBER 10/18/2000 EΡ Yes 1 045 469 AL1 No 0 866 513 09/23/1998 ΕP Yes AM1 No Yes No AN1 Yes No AO1 Yes No AP1 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Prieto, D. et al., CPS Structure Potentialities For MMICS: A CPS/CPW Transition And A Bias Network*, IEEE MTT-S Digest, MTT-S AR 1 International, Baltimore, MD, June 7-12, 1998; pp 111-114. Schellenberg, J. et al., "Low-Loss, Planar monolithic Baluns For K/Ka-Band Applications", IEEE MTT-S Digest, MTT-S International, AS 1 Anaheim, CA, June 13-19, 1999, pp. 1733-1736. ΑT 1 European Patent Office Communication, dated March 12, 2003 with attached Partial European Search Report. DATE CONSIDERED **EXAMINER EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.